

UNITED STATES OF AMERICA
FEDERAL ENERGY REGULATORY COMMISSION

NOTICE TO THE PUBLIC

ADDITIONAL ETARIFF TYPE OF FILING CODE (TOFC) FOR MODIFICATIONS
TO PENDING RULE 602 SETTLEMENT FILINGS

(Issued April 19, 2018)

1. As required by previous public notices,¹ all Rule 602² settlements and related interim rate filings in proceedings under Parts 35, 154, 284, 300, and 341 of the Commission's regulations must be eFiled in eTariff format. When a settlement and related interim rate filings are submitted in eTariff format, the filer is required to identify the specific type of filing by using the appropriate eTariff Type of Filing Code (TOFC).³
2. This Notice serves to add new Report TOFCs, which must be used when filing modifications to a previously filed and pending Rule 602 settlement filing when such modification does not involve a revision to a tariff provision. Such modifications include supplements, revisions, or corrections to the settlement document, regardless of whether they are substantive or ministerial. Changes that involve changes to tariff provisions must be filed using the Compliance codes. Filers must use the Associated Filing Identifier of the initial Settlement eTariff filing to ensure that the filing will be placed in the same docket as the settlement.
3. In making the filings to modify the settlement document, the filing must indicate whether the participants request that the Rule 602 period for comments and reply comments run from the date of the original filing or the date of the modified filing.

¹ *Notice of Additional eTariff Type of Filing Codes* (Dec. 1, 2016); *Notice to the Public: Procedures Governing Rule 602 Settlement Filings* (Oct. 13, 2017).

² Rule 602 “applies to written offers of settlement filed in any proceeding pending before the Commission or set for hearing” 18 C.F.R. § 385.602 (2017).

³ See FERC's *Office of the Secretary, Implementation Guide for Electronic Filing of Parts 35, 154, 284, 300, and 341 Tariff Filings*, v. November 14, 2016, available at <http://www.ferc.gov/docs-filing/etariff/implementation-guide.pdf>, for the definition of the eTariff data elements.

4. These requirements are mandatory effective May 1, 2018.
5. With these TOFCs additions, the comprehensive list of relevant TOFCs for Rule 602 settlement filings are:

TOFC	Description	Program	Category
1380	ALJ Settlement	E (Part 35 FPA Traditional Cost of Service and Market Based Rate Program)	Compliance
1390	ALJ Settlement	M (Part 35 FPA Market Based Rate Program)	Compliance
1400	ALJ Settlement	G (Part 154 NGA Gas Pipelines Program)	Compliance
1410	ALJ Settlement	K (Part 284 NGPA 311 Gas Pipelines Program)	Compliance
1420	ALJ Settlement	O (Part 341 Oil Pipelines Program)	Compliance
1460	ALJ Settlement Modification	E (Part 35 FPA Traditional Cost of Service and Market Based Rate Program)	Report
1470	ALJ Settlement Modification	M (Part 35 FPA Market Based Rate Program)	Report
1480	ALJ Settlement Modification	G (Part 154 NGA Gas Pipelines Program)	Report
1490	ALJ Settlement Modification	K (Part 284 NGPA 311 Gas Pipelines Program)	Report
1500	ALJ Settlement Modification	O (Part 341 Oil Pipelines Program)	Report



Carmen A. Cintron
Chief Administrative Law Judge

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